

# **Correcting Climate Model Sea Surface Temperature Simulations with Generative Adversarial Networks: Climatology, Interannual Variability, and Extremes**

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## 24 Abstract

Climate models are vital for understanding and projecting global climate change 25 and associated impacts. However, these models suffer from biases that limit their 26 accuracy in historical simulations and the trustworthiness of future projections. 27 28 Addressing these challenges requires addressing internal variability, hindering direct alignment between model simulations and observations and thwarting conventional 29 supervised learning methods. Here, we employ an unsupervised Cycle-consistent 30 Generative Adversarial Network (CycleGAN), to correct daily Sea Surface 31 32 Temperature (SST) simulations from the Community Earth System Model 2 (CESM2). 33 Our results reveal that the CycleGAN not only corrects climatological biases but also improves the simulation of major dynamic modes including the El Niño-Southern 34 Oscillation (ENSO) and the Indian Ocean Dipole mode, as well as SST extremes. 35 Notably, it substantially corrects climatological SST biases, decreasing the globally 36 averaged Root Mean Square Error (RMSE) by 58%. Intriguingly, the CycleGAN 37 effectively addresses the well-known excessive westward bias in ENSO SST anomalies, 38 a common issue in climate models that traditional methods, like quantile mapping, 39 40 struggle to rectify. Additionally, it substantially improves the simulation of SST extremes, raising the pattern correlation coefficient (PCC) from 0.56 to 0.88 and 41 lowering the RMSE from 0.5 to 0.32. This enhancement is attributed to better 42 representations of interannual variability and variabilities at intraseasonal and synoptic 43 scales. Our study offers a novel approach to correct global SST simulations, and 44 45 underscores its effectiveness across different time scales and primary dynamical modes. 46

- Keywords: Generative Adversarial Networks, Model Bias, Deep Learning, El NiñoSouthern Oscillation, Marine Heatwaves.
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53	Art	ticle highlights:
54	•	We employ an unsupervised Cycle-consistent Generative Adversarial Network
55		(CycleGAN), to correct daily Sea Surface Temperature (SST) simulations,
56		addressing the issue that supervised learning methods cannot be directly applied to
57		climate model corrections.
58	•	CycleGAN corrects climatological biases and improves the simulation of primary
59		dynamic modes including the El Niño-Southern Oscillation (ENSO) and Indian
60		Ocean Dipole mode, as well as SST extremes.
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CycleGAN addresses the well-known excessive westward bias into the equatorial
 Pacific in ENSO SST anomalies, a common bias in climate models.

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## 64 **1 Introduction**

Climate Model simulations play an indispensable role in contemporary climate 65 science, serving as fundamental resources for climate change detection, attribution, and 66 projection. Their simulations, especially from the Coupled Model Intercomparison 67 Project (CMIP), underpin the assessments conducted by the Intergovernmental Panel 68 on Climate Change (IPCC), offering comprehensive evaluations and pivotal references 69 70 that inform global climate science and guide critical decision-making (Taylor et al., 2012; Eyring et al., 2016). However, the utility of CMIP models in both detection and 71 72 attribution of climate change, as well as climate projections, is significantly impeded 73 by various persistent model biases (Zheng et al., 2012; Li and Xie, 2012; 2014; Liu et al., 2013; Tao et al., 2018; Jiang et al., 2019; Capotondi et al., 2020; Danabasoglu et 74 75 al., 2020; Jiang et al., 2021).

Among these biases, a prominent concern centers on the representation of sea 76 surface temperatures (SSTs) in coupled general circulation models (CGCMs), 77 especially the persistent cold tongue bias found in the equatorial Pacific (Li and Xie, 78 2012; 2014; Zheng et al., 2012). This bias, characterized by a cold bias in the 79 climatological SSTs, has long perplexed the climate community due to its potential 80 repercussions on climatological precipitation patterns and the accurate simulation of 81 82 the El Niño-Southern Oscillation (ENSO), thereby affecting the fidelity of global climate simulations (Tao et al., 2018; Jiang et al., 2019; Tang et al., 2023). Additionally, 83 substantial biases manifest at interannual scales, with ENSO SST variability exhibiting 84 an excessive westward extension into the equatorial western Pacific, consequently 85 86 impacting the ENSO decay and regional climate variability. These biases, commonly present in most of the CMIP models, are hardly canceled out even by multi-model 87 means (Huang and Ying, 2015; Jiang et al., 2021; Tang et al., 2023), thus impacting 88 historical simulations and future projections. 89

90 Efforts to rectify these climate model biases have spawned the development of 91 various methodologies. Traditional methods predominantly center around the local 92 adjustment of specific statistical characteristics. These adjustments typically target parameters like the mean and variance (involving variance adjustment; *Teutschbein and Seibert*, 2012; *Chen et al.*, 2011; *Chen et al.*, 2013; *Li et al.*, 2019), and frequency
distributions (via techniques like quantile mapping; QM; *Themessl et al.*, 2011).
However, these approaches fall short of providing precise corrections for model errors
at the daily timescale and in dynamical climate variabilities. Traditional methods
primarily focus on specific statistical features or target adjustments at individual grid
points and can by design not correct spatial patterns (*Hess et al.*, 2022; 2023).

The challenge of climate model bias correction is exacerbated by the internal 100 101 variability of the Earth system, characterized by inherent nonlinearity (Hawkins and 102 Sutton, 2011; Deser et al., 2012; Deser et al., 2016; Hu et al., 2018; Wang et al., 2020). In particular, discrepancies arise due to internal variability in model simulations and 103 observations at corresponding times. Consequently, discerning whether disparities 104 between model fields and observational datasets arise from internal variability or 105 genuine model biases poses a substantial challenge; identifying and on that basis 106 correcting the bias in a particular day in model data is inherently difficult. This presents 107 a hurdle when trying to employ traditional statistical methods and supervised learning 108 techniques to correct model simulations. 109

The advent of deep learning, particularly the development of unsupervised and 110 semi-supervised learning techniques, has introduced novel avenues for addressing 111 climate model biases. Generative Adversarial Networks (GANs), pioneered by 112 Goodfellow et al. (2014), leverage a generator-discriminator framework to generate 113 images that are in their characteristics and statistical properties virtually 114 indistinguishable from target images. Subsequently, Hoffman et al. (2017), Yi et al. 115 (2017), and Zhu et al. (2017) expanded upon the GAN methodology, introducing the 116 Cycle-consistent GAN (CycleGAN), which incorporates a cycle-consistent loss to 117 facilitate the bidirectional transformation of data styles. Pan et al. (2021) have explored 118 119 the application of this method to rectify precipitation data in CGCM simulations, 120 yielding promising results in correcting precipitation biases in the United States. Moreover, the extension of this method to global precipitation simulations 121

demonstrated its efficacy in correcting spatial patterns and in ameliorating the Pacific double Intertropical Convergence Zone (ITCZ) bias (*Hess et al.*, 2022; 2023), a prevalent issue across most CGCMs. These applications have predominantly concentrated on evaluating the enhancement of fundamental statistical aspects of precipitation.

127 The critical inquiry here is whether this method can also bias-correct modelled 128 SST fields. Furthermore, can it correct the dynamical oceanic modes? And can it adapt 129 to variations driven by distinct physical mechanisms at various time scales? These 130 questions presently lack definitive answers.

In this paper, we employ a CycleGAN-based approach to correct global daily SST fields to answer these questions. We find that our method not only addresses biases at climatological averages but also tackles errors associated with ENSO, the Indian Ocean Dipole (IOD), and SST extremes. This paper is structured as follows: Section 2 provides introductions of datasets and methodology employed, Section 3 unveils the primary results, and Section 4 discusses and summarizes our findings.

#### 137 2 Data and method

### 138 2.1 Data

We employ daily surface temperature (ST) from the National Center for 139 Environmental Prediction-Department of Energy Atmospheric Reanalysis (NCEP; 140 Kanamitsu et al. 2002) at a resolution of 2.5°× 2.5° for the period 1950 to 2014. 141 Modelled daily ST fields from 1950 to 2014 are obtained from the Community Earth 142 System Model 2 (CESM2; Danabasoglu et al., 2020), a widely used and well-organized 143 model, which can be considered a state-of-the-art climate model. The global gridded 144 monthly SST datasets from Extended Reconstructed Sea Surface Temperature 145 (ERSST.v5; Huang et al. 2017), the NOAA 1/4° Daily Optimum Interpolation SST 146 (OISST; *Huang et al.*, 2021), and the Hadley Centre Global Sea Ice and SST (HadISST) 147

are utilized. These three SST datasets and NCEP ST, ranging from 1991~2014, are utilized for model testing. All datasets are interpolated to a resolution of  $2.5^{\circ} \times 2.5^{\circ}$ .

To extract ENSO-related SST variability, we utilize the unstandardized Niño-3.4 150 index. This index is defined as the SST anomalies (SSTA) averaged over the region 151 between 5°S~5°N, and 120°W~170°W from December to February (DJF). We 152 performed a regression analysis of this unstandardized Niño-3.4 index on the 153 interannual SSTA, thus revealing the underlying ENSO-driven SST variability. The 154 IOD SST is obtained in a similar way as that in ENSO SST but uses the Dipole Mode 155 156 Index defined as the anomalous SST gradient between the western equatorial Indian 157 Ocean (50°E-70°E and 10°S-10°N) and the southeastern equatorial Indian Ocean (90°E-110°E and 10°S-0°N) during September-October-November (SON). 158

#### 159 **2.2 Methods**

CycleGAN is a groundbreaking deep learning model designed for unpaired image-160 to-image translation. It enables the transformation of images from one domain to 161 another even when paired training data is unavailable (Zhu et al. 2017), such as in the 162 case tackled in the present study. Unpaired image-to-image translation involves the 163 mapping of images from one domain (the source domain, denoted by X) to another (the 164 target domain, denoted by Y) without requiring a direct one-to-one correspondence 165 between the images in the two domains. In our case, we apply the principles of unpaired 166 167 image-to-image translation for the correction of CGCM daily SST data. Specifically, we consider CESM2 SSTs as domain X and NCEP STs as domain Y. The training and 168 validation data comprises CESM2 and NCEP ST data from 1950 to 1990, while the 169 testing data spans from 1991 to 2014. A normalization process is employed to scale the 170 data. 171

Fig. 1 gives the schematic of the CycleGAN. Within the CESM2, biases exist in the internal variability and external forced responses within model simulations on any given day. However, the internal variability in observations on the same day may not correspond to that in the model. For example, the model might simulate an El Niño year, while observations indicate a La Niña year. Therefore, directly adjusting the model to match the observational state is evidently inaccurate. Our goal is to eliminate biases in internal variability and external forced responses while simultaneously preserving the model's internal variability (e.g., the ENSO phase).

To achieve this objective, a generator (G(x)) and a discriminator are first employed 180 in this model (Fig. 1a). The primary function of the generator is to transform CESM2 181 data to observational SST, while the discriminator assesses whether the generated 182 outcomes originate from observations. A balance between these two components yields 183 184 the best results, wherein the discriminator should be incapable of distinguishing between images generated by the generator and observations. This phase aids in 185 correcting the GCM simulations. Subsequently, a secondary generator (F(y)) and 186 discriminator are utilized to retain internal variability. The secondary generator, F(y), 187 is tasked with reconverting the previously generated images back into the original 188 CESM2 data. This process ensures that the generated images by G(x) retain the 189 essential characteristics of CESM2. From a mathematical perspective, the architecture 190 of F(y) ensures a bidirectional mapping between CESM2 and the observational data. In 191 a certain context, this secondary generator facilitates the preservation of internal 192 variability within CESM2, as internal variability is a predominant aspect on a daily 193 scale. 194

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The loss function of this model is as follows:

$$\mathcal{L}(G, F, D_X, D_Y) = \mathcal{L}_{\text{GAN}}(G, D_Y, X, Y) + \mathcal{L}_{\text{GAN}}(F, D_X, Y, X) + \lambda_c \mathcal{L}_{\text{cvc}}(G, F) + \lambda_i \mathcal{L}_{\text{id}}(G, F)$$
(1)

197 The loss includes the adversarial loss of X -> Y ( $\mathcal{L}_{GAN}(G, D_Y, X, Y)$ ), Y->X 198 ( $\mathcal{L}_{GAN}(F, D_X, Y, X)$ ), the consistency loss ( $\mathcal{L}_{cyc}(G, F)$ ), and the identity loss ( $\mathcal{L}_{id}(G, F)$ ). 199 G denotes a generator that tries to generate images G(x) that are indistinguishable from 200 those in Y, F represents a generator that tries to generate images F(y) similar to those 201 in X.  $D_Y$  and  $D_X$  denote the discriminators aiming to distinguish between G(x) and y, 202 and F(y) and x, respectively.

203 
$$\mathcal{L}_{\text{GAN}}(G, D_Y, X, Y) = \mathbb{E}_{y \sim p_{\text{data}}(y)}[\log D_Y(y)] + \mathbb{E}_{x \sim p_{\text{data}}(x)}[\log(1 - D_Y(G(x)))]$$
(2)

204 
$$\mathcal{L}_{\text{GAN}}(F, D_X, Y, X) = \mathbb{E}_{x \sim p_{\text{data}}(x)}[\log D_x(X)] + \mathbb{E}_{y \sim p_{\text{data}}(y)}[\log(1 - D_X(F(y)))]$$
(3)

Moreover, as by *Zhu et al.* (2017), the consistency loss and identity loss are further added to help retain the internal variability and avoid introducing additional bias, the weights of these terms are controlled by  $\lambda_c$  and  $\lambda_i$ , which are set to 10 and 0.5, respectively.

208 
$$\mathcal{L}_{\text{cyc}}(G,F) = \mathbb{E}_{x \sim p_{\text{data}}(x)} \left[ \| F(G(x)) - x \|_1 \right] + \mathbb{E}_{y \sim p_{\text{data}}(y)} \left[ \| G(F(y)) - y \|_1 \right]$$
(4)

209 
$$\mathcal{L}_{\text{identity}}(G, F) = \mathbb{E}_{y \sim p_{\text{data}}(y)} ||| G(y) - y ||_1] + \mathbb{E}_{x \sim p_{\text{data}}(x)}[|| F(x) - x ||_1]$$
(5)

210 The generative networks consist of three components: down-sampling with two convolutional layers, followed by nine residual blocks comprising a total of 21 convolutional 211 layers, and finally two up-sampling layers (as depicted in Fig. 1). The first residual block, 212 represented in Fig. 1c, contains five convolutional layers, while the subsequent blocks, as 213 214 shown in Fig. 1d, each consist of two convolutional layers. This deep generative network helps generate finer SST images. The architecture of the discriminator aligns with the model 215 presented by Zhu et al. (2017). Gradient clipping is employed to optimize the training of the 216 model. In training GAN, the Wasserstein Generative Adversarial Network is utilized, chosen 217 for its known stability (Arjovsky et al., 2017). 218

In the following we employ linear regression and composite analysis, and the statistical significance is determined using the two-tailed Student's t-test.



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**Figure 1** (a) Schematic of the CycleGAN. (b) The details of ResNet, which has 9 residual blocks. (c) The details of the first residual block, and (d) other blocks.

## 225 3 Results

Figure 2 presents a qualitative comparison of daily ST on the same date (3th November 2004). CycleGAN essentially preserves most features present in CESM2 results, notably the La Niña-like SSTA (Figs. 2b and 2c), while also exhibiting a greater resemblance to observations (Figs. 2a and 2c). This improvement is particularly notable in regions such as the tropical Atlantic, South Africa, and western South America. This comparison effectively signifies that the data post-processed by CycleGAN, in addition to correcting model outcomes, preserves the internal variability of the model.

In the subsequent analysis, we evaluate the correction from three key perspectives: climatology, interannual variability, and extreme events. To validate the effectiveness of the correction, we performed comparisons between results obtained from CESM2, GAN-corrected SSTs, and four reference datasets (HadISST, ERSST, NCEP, OISST).



242 **3.1 The climatological bias** 



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Figure 3 The disparities between climatological annual mean SSTs in (a, c, e, g) CESM2
simulations, (b, d, f, h) GAN-corrected SST, and (a, b) HadISST, (c, d) ERSST, (e, f) NCEP,
(g, h) OISST.

Figure 3 illustrates the disparities between CESM2 model data, GAN-corrected 247 outcomes, and observational datasets. Overall, CESM2 displays a substantial warming 248 bias when compared to the four SST datasets. There is a distinct dipole bias in SSTs in 249 the North Atlantic, particularly along the Gulf Stream and North Atlantic Current, 250 251 where warm and cold biases coexist in proximity. A similar dipole bias is observed in the Southern Ocean at mid to high latitudes. Notably, prominent warm biases are 252 noticeable near eastern boundary upwelling regions, encompassing areas close to 253 California, South America, and Africa (Figs. 3a, 3c, 3e, and 3g). These biases are likely 254

associated with discrepancies in wind stress patterns within these regions and the spatial
resolution of the model (*Capotondi et al.*, 2020). Additionally, a significant warm bias
is evident in the tropical Pacific. This warm bias is distinct from the well-known cold
tongue bias observed in most CMIP5 models and even differs from CESM1, signifying
disparities between CESM2 and its predecessors, as also acknowledged in previous
CESM2 assessment studies (e.g., *Capotondi et al.*, 2020).



Figure 4 The disparities between climatological annual mean SSTs in (a) CESM2 simulations, (b) QM-corrected SST, and NCEP.

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After the correction by the CycleGAN, there is a substantial reduction in the bias in the climatological SSTs (Figs. 2b, 2d, 2f, and 2h). The dipole bias in the North Atlantic and the Southern Hemisphere are notably reduced. Only minor cold biases persist in the vicinity of eastern Africa and the east of New Zealand. Furthermore, warm biases in the tropical Pacific are significantly attenuated. The overall bias diminishes from 1.25°C (1.19°C to 1.28°C) in CESM2 to 0.52°C (0.4°C 0.57°C). Through a

comparative analysis involving four sets of SST data, we find that the biases in both 270 magnitude and spatial pattern are highly consistent across the different datasets. This 271 robust agreement underscores the reliability of our evaluation. In addition, CycleGAN 272 exhibits clear advantages over traditional methods. We compare its performance with 273 the widely utilized approach, modified QM (Themeßl et al., 2011; Bai et al., 2016), 274 widely employed for the correction of historical simulations and future projections 275 within CMIP. When applied to SST correction, modified QM yields an error of 0.6, 276 which is inferior to the results obtained by CycleGAN (Fig. 4). It is noteworthy that the 277 performance of QM and CycleGAN is remarkably close in tropical regions, and in the 278 North Pacific, QM even outperforms the latter. However, QM exhibits larger errors in 279 the high-latitude regions of the Southern Ocean. 280

The errors calculated based on the NCEP data align closely with those from other datasets, further affirming the suitability of this dataset for model training. Given the substantial convergence in results across three SST datasets (HadISST, ERSST, OISST), for the sake of brevity, we will exclusively employ HadISST as the observational reference in the subsequent sections.

286 **3.2 The bias in interannual variability** 





Figure 5 ENSO-related SSTA in (a) observation, (b) CESM2, (c) QM, and (d) CycleGAN. Stippling indicates where the regressions are significant at the 95% confidence level, based on the student's t-test. The hatched areas represent significant differences in El Niño composite SSTs within the CycleGAN-corrected fields compared to CESM2. The black contour line denotes +0.2K in observations.

In addition to addressing biases at the climatological scale, an essential aspect of 293 climate model correction, and perhaps even more crucial, is the capability to simulate 294 interannual dynamical variability such as ENSO and IOD. The accurate representation 295 of these variabilities directly influences the ability to capture global and regional 296 climate variability. Figure 5 displays DJF ENSO SSTA, in observations, CESM2, and 297 CycleGAN-corrected SSTs. Notably, CESM2 exhibits an excessive westward bias in 298 the equatorial Pacific, with a pronounced warm bias in the equatorial western Pacific. 299 300 This bias is well-known and prevalent in many models, constituting a common bias in most CMIP5 and CMIP6 models (Tao et al., 2015; Tao et al., 2018; Tao et al., 2019; 301 Jiang et al., 2021). It is worth noting that this substantial warm bias in ENSO SSTA can 302 be primarily attributed to the climatological cold tongue bias (Li and Xie, 2012; 2014; 303 Jiang et al., 2021). The cold tongue bias manifests as a phenomenon in the model 304 simulation, signifying that the climatological annual mean Sea Surface Temperature 305 (SST) in the central-eastern tropical Pacific is colder than observed. This is 306 characterized by an excessively strong and westward-extending cold tongue in the 307 308 equatorial Pacific. The presence of the cold tongue bias can influence SSTA in this region through its impact on temperature advection and other related processes. Despite 309 the warm bias in the western Pacific in CESM2, its climatology also exhibits a warm 310 bias. This suggests that the warm bias in the western Pacific of CESM2 may be 311 attributed to other mechanisms. 312

The modified QM method exhibits limited efficacy in the correction of ENSO SST. 313 Its RMSE and PCC closely align with CESM2, and is ineffective in addressing 314 distributional biases, such as excessive westward bias (Fig. 5c). After correction by the 315 316 CycleGAN, in the equatorial western Pacific, the warm bias is greatly diminished, with 317 its intensity comparable to observations. Furthermore, the warm bias in the central and eastern Pacific, as observed in CESM2, is also notably reduced. A significance test was 318 performed for composite El Niño SSTs, revealing significant differences between 319 CESM2 and the CycleGAN-corrected results in several regions, including the 320 321 equatorial western Pacific, the equatorial sides of the central Pacific, and the tropical eastern Pacific (Fig. 5d). This indicates a statistically reliable improvement by the 322

CycleGAN in addressing warm biases in CESM2. Overall, upon applying the correction, we observe a significant reduction in the excessive westward bias of ENSO SST variability, with the distribution closely resembling observations. The RMSE decreases from 0.14 in CESM2 to 0.06, and the PCC increases from 0.89 to 0.95, corresponding to a 57% reduction and a 6.7% improvement, respectively.

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Figure 6 (Left) The standard deviation of SST and (b) the IOD-related SSTA during SON in
(a, e) observation, (b, f) CESM2, (c, g) QM, and (d, h) CycleGAN, respectively. Stippling
indicates the regressions are significant at the 95% confidence level, based on the student's ttest. The hatched areas represent significant differences in positive IOD composite SSTs
within the CycleGAN compared to CESM2.

Figure 6 shows the standard deviation of SSTs in the Indian Ocean and the IOD SSTA. In the CESM2 simulation, there is a significant overestimation of variability in the southeastern and western equatorial Indian Ocean, with the center of variability

extending too far westward in the southeastern Indian Ocean when compared to 338 observations. Similar to its limited efficacy in ENSO correction, the modified QM 339 method demonstrates constrained effectiveness in the correction of SST variability. 340 While the CycleGAN markedly reduces the bias in the southeastern and western 341 equatorial Indian Ocean compared to CESM2, and the excessive westward extension is 342 343 substantially attenuated. The IOD mode exhibits bias like the standard deviation of SSTs (Fig. 6f). In CESM2, the IOD SSTA in the southeastern Indian Ocean is 344 significantly stronger and extends too far westward compared to observations. In 345 observations, negative anomalies roughly extend to 85°E, while in CESM2, the 346 negative anomaly extends to around 70°E. CycleGAN successfully reduces this bias, 347 with the negative anomaly located east of 80°E, significantly closer to observations, the 348 performance is noticeably superior to modified QM, with the latter making only 349 marginal adjustments to the intensity of SSTA. The RMSE decreases substantially 350 compared to CESM2, from 0.34 to 0.21, while the PCC increases from 0.82 to 0.92. 351

- 352 **3.3 The bias in SST extremes**
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Figure 7 As Fig. 5, but for the 95<sup>th</sup> percentile of SSTA.

In addition to interannual dynamical variability, a recent focus in oceanic research 356 is marine heatwaves. Frequent marine heatwaves have significant impacts on marine 357 ecosystems, particularly on marine fisheries and coral reefs (Oliver et al., 2017; Oliver 358 et al., 2018; Holbrook et al., 2019; Oliver et al., 2021; Liu et al., 2022; Liu et al., 2023). 359 We primarily use the 95th percentile of daily SSTA to quantify SST extremes and 360 evaluate the performance of CESM2 and the GAN-correction in representing them. To 361 conduct the evaluation, we utilized NCEP data spanning from 1991 to 2014 for testing. 362 Figure 7 illustrates the 95th percentile of NCEP, CESM2, and CycleGAN-corrected 363 SSTA, respectively. In the NCEP data, regions with high values of the 95th percentile 364 are concentrated in the western boundary current extension regions, the central and 365 eastern equatorial Pacific, and the northeast Pacific Ocean, consistent with previous 366 studies (Chen et al., 2014; Echevin et al., 2018; Oliver et al., 2017; Oliver et al., 2021). 367 When compared to NCEP, the spatial distribution of the 95th percentile in CESM2 is 368 generally similar. However, CESM2 significantly overestimates the intensity of 369 extreme SSTA in the central and eastern tropical Pacific while underestimating it in the 370 northwest Pacific. In contrast, CycleGAN-corrected extreme SSTA shows better 371 agreement with observations in terms of intensity and spatial distribution. The PCC 372 improves from 0.56 to 0.88, and the RMSE decreases from 0.5 to 0.32, slightly 373 outperforming those obtained with modified QM. 374

Particularly noteworthy is the significant reduction of the overestimation bias in 375 the central and eastern tropical Pacific and the enhancement in the underestimation in 376 377 the northeast Pacific, making CycleGAN's results more consistent with NCEP. Figures 8 and 9 present probability density functions (PDFs) of SSTA in the tropical (-5°S-5°N) 378 379 and northeast (40°N~60°N; 180~120°W) Pacific, respectively. On average, CESM2 exhibits a wider distribution in the tropics, indicating a stronger variability. CESM2 has 380 381 a 95th percentile of 1.41 degrees for the tropical region, while NCEP only has 1.18 degrees, resulting in a 19.5% overestimation. This aligns with the earlier result of the 382 overestimation of SSTA in the tropical region, especially in the central and eastern 383 Pacific (Fig. 7). After correction, the distribution of SSTA in the tropics closely matches 384

observations, with 95th percentiles of approximately 1.17, indicating a substantial reduction in the overestimation bias of CESM2 in the tropics. A similar situation is observed in the northeast Pacific. In this area, SSTA distribution in CESM2 is overall narrower than observations, indicating a lower variability. Correspondingly, its 95th percentile is lower than that of NCEP. After correction, the distribution and the 95th percentile of SSTA are much closer to NCEP.





392 Figure 8 PDFs of average tropical SSTA for (a) NCEP and CESM2, and (b) NCEP and

CycleGAN. Shading indicates areas where the SSTA is above the 90th percentile.









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Figure 10 Standard deviations of (left) interannual DJF SSTA and (right) SSTA filtered by a
band of 2–90 days in (a, d) NCEP, (b, e) CESM2, and (c, f) CycleGAN, respectively.

Unlike methods such as modified QM that directly adjust data by quantiles to make 399 the local distribution closer to observations, the CycleGAN learns and transforms high-400 dimensional data features. An important question naturally arises: which key processes 401 does CycleGAN capture that result in the improvement in simulating SST extremes? 402 To address this question, we further performed an analysis of variability at various time 403 scales, involving interannual variability and frequency exceeding 90 day<sup>-1</sup> (encompass 404 Madden-Julian Oscillation (MJO), Intraseasonal Oscillation (ISO), and synoptic-scale 405 406 eddies). Figure 10a presents the interannual standard deviation of DJF SSTs based on 407 observations. It primarily exhibits an ENSO-like pattern in the equatorial central and eastern Pacific. Moreover, notable high-variability regions are evident in the Northeast 408 Pacific, North Pacific, mid-latitudes in the South Pacific, and adjacent to western 409 boundary currents. In general, the spatial distribution of SST interannual variability in 410

observations closely resembles the distribution of the 95th percentile of SSTA (shown 411 in Fig. 7), indicating the direct influence of interannual variability, especially ENSO, 412 on extreme SSTs as in previous studies (Doi et al., 2015; Oliver et al., 2021). 413 Additionally, ENSO can induce SST variations in the Northeast Pacific and North 414 Atlantic regions by exciting Rossby wave trains (Wang et al., 2021; 2023; Wang et al., 415 2022), further influencing extreme events in those areas (Johnson and Kosaka, 2016; 416 Trenberth et al., 1998). When compared to observations, CESM2 exhibits an overall 417 overestimation of interannual variability, particularly pronounced in the central-eastern 418 Pacific. This overestimation is likely related to the higher ENSO variability within 419 CESM2 (Capotondi et al., 2020). The consistency of the stronger interannual variability 420 in the tropical central-eastern Pacific and the larger bias of extreme SSTA in Fig. 7 421 422 suggest that the overestimation of interannual variability is a key factor contributing to the high bias in extremes in CESM2. In contrast to CESM2, SST fields corrected by 423 the CycleGAN show a substantial reduction in the error in interannual variability, with 424 the RMSE decreasing from 0.31 to 0.1. CycleGAN-corrected results exhibit a closer 425 426 match to observations in terms of intensity, particularly in the tropical Pacific, South Pacific, Atlantic, and Indian Ocean. While the intensity in the tropical central-eastern 427 Pacific remains higher than observed, notable improvements are observed compared to 428 CESM2, consistent with the enhancement seen in extreme SSTA for this region in Fig. 429 7. Concerning intraseasonal and synoptic-scale variability, the variability in NCEP is 430 predominantly concentrated in the tropical Pacific and western boundary current 431 regions (Fig. 10d), likely associated with boundary currents and active mesoscale eddy 432 activity (Oliver et al., 2021). CESM2 simulations generally underestimate variability 433 at these scales (Fig. 10e). In contrast, CycleGAN-corrected SSTs exhibit substantial 434 435 improvements, especially in the Northeast Pacific, where the distribution is in closer agreement with NCEP (Fig. 10f). In summary, the CycleGAN demonstrates significant 436 improvements in SST variability at different time scales, facilitating more accurate 437 simulation of the complex oceanic dynamic processes, particularly regarding 438 439 interannual variability and variability at intraseasonal and synoptic scales.

440 4 Conclusion and Discussion

In this study, we employed CycleGAN to correct the daily SSTs from CESM2 441 historical simulations. We conducted a comprehensive assessment of this model, 442 considering various aspects such as climatology, interannual variability, and extremes. 443 Our findings reveal significant improvements across these evaluation dimensions. At 444 the climatological scale, the CycleGAN substantially reduced bias in annual mean 445 446 climatological SST. Specifically, there is a 58% reduction in RMSE relative to CESM2, from 1.25 (1.19~1.28) degrees in CESM2 to 0.52 (0.4~0.57) degrees. At the interannual 447 scale, involving two primary tropical modes, ENSO and IOD, we observe significant 448 enhancements in simulating these modes with the CycleGAN. For ENSO SSTA, the 449 RMSE decreases from 0.14 to 0.06, corresponding to a 57% reduction. CycleGAN 450 effectively addresses a common bias of ENSO SST found in many climate models, 451 452 known as the excessive westward bias in the equatorial Pacific that traditional methods, like quantile mapping, struggle to rectify. In the case of IOD, CESM2 tends to produce 453 excessively strong and westward-extending anomalies in the southeastern Indian Ocean. 454 After the correction, these biases are substantially reduced, resulting in an increased 455 456 PCC of up to 0.92.

Moreover, we investigate the performance in simulating SST extremes. The CycleGAN corrects the overestimation in extremes in most regions and addresses the underestimation in the Northeast Pacific. The improved performance of the CycleGAN in simulating the distribution of SSTA and extremes can be attributed to its ability to capture different temporal scales of variability, including interannual variability and variability at intraseasonal and synoptic scales, encompassing periods shorter than 90 days.

In summary, our study demonstrates that the CycleGAN offers comprehensive enhancements across various time scales and physical processes. Its utility extends beyond merely correcting specific statistical measures such as first and second-order moments locally; it also enhances the simulation of critical air-sea coupling modes like ENSO and IOD. These findings underscore the significant potential of CycleGAN as a valuable tool for climate model correction and climate projection.

470 We employ NCEP data for the evaluation of SST extremes. It is crucial to highlight

the potential inconsistency among various observational datasets at the daily time scale, particularly in oceanic data. Consequently, it is essential to clarify that the presented results regarding SST extremes should be interpreted as an assessment of the capacity of CycleGAN to enhance the simulation of SST extremes rather than its precision in replicating observations. Achieving the latter would demand a more extensive dataset, particularly observational station data.

Moreover, it is crucial to acknowledge that, beyond the correction of historical 477 simulations, the central consideration is the adaptability of these methods to future 478 projections. Recent studies, exemplified by Hess et al. (2022), suggest that CycleGAN, 479 through post-processing or incorporating constraints like global mean values, can 480 reproduce trend signals under global warming. Further research is needed to refine the 481 capability in capturing regional-scale warming responses. Subsequent investigations 482 should also involve the development of correction datasets encompassing multiple 483 models and variables, accompanied by a comprehensive analysis of physical 484 mechanisms and laws in the corrected data. Comparisons with methods integrated with 485 486 climate models, such as surface flux adjustments, should be explored. Furthermore, the direct coupling of the proposed correction method with climate models represents a 487 critical avenue for future inquiry. 488



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